

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Adkisson et al.

Serial No.: Unknown

Filed: Concurrently

For: **METHOD OF CONTROLLING FLOATING BODY EFFECTS IN AN  
ASYMMETRICAL SOI DEVICE**

Group Art Unit: Unknown

Examiner: Unknown

Date Mailed: July 6, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231**INFORMATION DISCLOSURE STATEMENT**

Sir:

Under the provisions of 37 CFR §§1.97 through 1.99 and pursuant to applicants' duty of disclosure under 37 CFR §1.56, applicants respectfully bring the attached documents, listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application.

The present Information Disclosure Statement is being filed no later than three months from the application's filing date and/or before the mailing date of an Office Action on the merits, whichever is later.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the documents be considered by the Examiner and formally made of record in this application.

Respectfully submitted,

A handwritten signature in cursive script that reads "Marshall M. Curtis".

Marshall M. Curtis  
Registration No. 33,138McGuireWoods LLP  
1750 Tysons Boulevard, Suite 1800  
Tysons Corner  
McLean, Virginia 22102-3915  
(703) 712-5067

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Transmittal

IBM DOCKET NO. FIS920010105US1

IBM Corporation  
Intellectual Property LawAssistant Commissioner for Patents  
Washington, D.C. 20231Zip 482  
2070 Route 52  
Hopewell Junction, New York 12533

Sir:

July 6, 2001

Transmitted herewith for filing is the patent application of

Inventors: James W. Adkisson Michael J. Hargrove Lyndon R. Logan Isabel Y. Yang

For: METHOD OF CONTROLLING FLOATING BODY EFFECTS IN AN ASYMMETRICAL SOI DEVICE

Enclosed are:

- ☒ 5 sheets of informal drawings consisting of 17 figures.  
☒ An assignment of the invention to: International Business Machines Corporation, Armonk, New York 10504  
☐ A certified copy of application Serial No.:  
☒ An Information Disclosure Statement.  
☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27.  
☒ A combined declaration and power of attorney  
☐ A preliminary amendment.

ASSIGNEE NAME: INTERNATIONAL BUSINESS MACHINES CORPORATION  
ASSIGNEE RESIDENCE: ARMONK, NEW YORK 10504

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
For:	No. Filed	No. Extra
Basic Fee		
Total Claims	22 - 20 =	2
Indep. Claims	2 - 3 =	0
Multiple Dependent Claim Presented		

Other Than Small Entity	
Rate	Fee
	\$ 710.00
2 x \$18.00 =	\$ 36.00
0 x \$80.00 =	\$ 0.00
\$270.00	\$ 0.00
TOTAL	\$ 746.00

- ☒ Please charge Deposit Account 09-0458 in the amount of \$746.00. A duplicate copy of this sheet is enclosed. FI-401  
☒ Commissioner is authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0458 A duplicate copy of this sheet is enclosed.  
☒ Any additional filing fees required under 37 C.F.R. §1.16.  
☒ Any patent application processing fees under 37 C.F.R. §1.17.

## CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231 as "Express Mail Post Office to Addressee"  
Mailing Label No. EF383029878US on July 6, 2001

Karen Cinq-Mars

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Karen Cinq-Mars

Date

7/6/01

Signature

Respectfully submitted,

FOR: James W. Adkisson, Michael J.  
Hargrove, Lyndon R. Logan, Isabel  
Y. Yang

BY:

Attorney: H. Daniel Schnurmann

Registration No.: 35,791

Telephone No.: (845) 894-2481

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09/899957  
07/06/01